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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as FIRST CLASS MAIL in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 21, 2004.

January 21, 2004

Date

Signature: Carrie Reddick

PATENT

Attorney Docket No. ULT-005-2C2P

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application) PATENT APPLICATION
Inventor(s): Malcolm G. Smith, Sr.)
Application No.: 09/663,659) Art Unit: 2876
	Examiner: Nowlin, April A.
Filed: 9/15/2000)
Title DATA STORAGE CARD HAVING A)
NON-MAGNETIC SUBSTRATE AND DATA)
SURFACE REGION AND METHOD FOR)
USING SAME)
)

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy of each listed document is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP § 609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56.

01/28/2004 SSANDARA 00000023 09663659

01 FC:2453 02 FC:1806 665.00 DA 180.00 DA

SN: 09/663,659

	This sta	atement	qualifies under 37 C.F.R. § 1.97	, subsection (b) because (check all that apply):
		(1)	_	s of the application filing date and is other than a application under § 1.53(d)
		(2)	It is being filed within 3 month	s of entry of a national stage
		(3)		date of the first Office Action on the merits.
		(4)	It is being filed before the mail continued examination	ing of a first Office Action after the filing of a request for under § 1.114
	mailing	g date of		led after the period specified in § 1.97(b), but before the in under § 1.113, a notice of allowance under § 1.311, or ne application, then:
		a certif	ication as specified in § 1.97(e)	is provided below; or
			f \$180.00 as set forth in § 1.17(pnt of other papers filed together	e) is authorized below, enclosed, or included with the with this statement.
			97(d). If this statement is being fit issue fee, then:	iled after the period specified in § 1.97(c), but on or before
	A.	a certif	ication as specified in § 1.97(e)	is completed below; and
	В.		f \$180.00 as set forth in § 1.17(pnt of other papers filed together) is authorized below, enclosed, or included with the with this statement.
	and cha	arge any	•	y authorized to charge the above-referenced fees of \$180 erpayment associated with this communication to Deposit C2P).
				Respectfully submitted,
Dated:	Ianuars	, 21, 200	04	BEVER, HOFFMAN & HARMS, LLP By:
Duica.	January	21, 200		James E. Parsons, Reg. No. 34,691
-	one: (40 ner No. 2	8) 451-5 22888	5906	V

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JAN 2 6 2004 13 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 14 Attorney Docket Number 09/663,659

Filing Date 9/15/2000

Malcolm G. Smith, Sr.

Examiner: Nowlin, April A. GROUP: 2876

Application Number 09/663,659

Filing Date 9/15/2000

Malcolm G. Smith, Sr.

Examiner: Nowlin, April A. GROUP: 2876

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	B001	l	US- 1,7	756,	547		04/	1930	Ha	nsen		
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	B006	5	US-4,0	76,1	25		2/2	8/1978	Ohsa	ki et al.		
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	B008	EI	P	019	4675			9/1986				
	B009	w	o o	921	0485			12/1992				
	B010	JР	•	100	041118			4/1998				
	B011	ЛР	•	101	44391	1	A	5/1998	Ka	nda		
	•		отн	ER	DOCU	MENTS	(Inc	luding Author,	Title, Date, Pe	ertinent Pages,	Etc.)	
	(One	Pag	ge) Adv	ertis	ing Bro	chure, Id	omeg	a Clik! PC Card	Drive (40 MB	Disk) Iomega C	Corporation, 1999	
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DATE CONSIDERED:

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Substitute for form 1449A/PTO				Filing Date	9/15/2000		
1			CLOSURE PLICANT	First Named Inventor:	Malcolm G. Smith, Sr.		
			necessary)	Examiner: Nowlin, April A.	GROUP: 2876		
Sheet	2	of	14	Attorney Docket Number	ULT-005-2C2P		
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Sheet		2	0	f		14	F	Attorney Docke	et Number	ULT-005-20	C2P	
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Examiner Initials*	Cited No. ¹		<u>Do</u> Numbe	cum r - K	ent Num ind Cod		P	ublication Date IM-DD-YYYY	Name of	Patentee or Cited Document	Pages, Columns, Lir Where Relevant Passag Figures Appear	
	B012	Į	US-4,1	04,6	82		8/1	8/1/1978 Lehner et al.				
	B013	Ţ	US-4,1	41,4	00		2/2	7/1979	Ma	ngan		
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	B015	τ	US-4,2	09,8	311		6/1	/1980	Bla	zevic		
	B016	Ţ	US-4,2	77,8	309		7/7	/1981	Fishe	er et al.		
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	B018	τ	US-4,3	18,1	36		3/2	/1982	Jei	ffers		
	B019	τ	US-4,4	03,1	.38		9/1/1983		Battarel et al.			
	B020	τ	US-4,450,955			5/2	9/1984	Feath	nerston			
	B021	τ	US-4,5	03,1	.25		3/1	/1985	Nelso	on et al.		
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	B025	τ	US-4,5	81,5	523		4/1	/1986	Ok	cuno		
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Examiner Initials*	Cite No. 1		-		Docume			Publication Date MM-DD- YYYY	Name of I Applican	Patentee or it of Cited iment	Pages, Columns, Lines Where Relevant Passages or Figures Appear	Т°
			ОТН	ER	DOCU	MENTS	(Inc	luding Author,	Title, Date, Pe	ertinent Pages,	Etc.)	
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Substitute for	مرجعور			Application Number	09/663,659
Substitute R	or torm 1449	A/PIO		Filing Date	9/15/2000
			CLOSURE PLICANT	First Named Inventor:	Malcolm G. Smith, Sr.
(Use a	s many she	eets as	necessary)	Examiner: Nowlin, April A.	GROUP: 2876
Sheet	3	of	14	Attorney Docket Number	ULT-005-2C2P
			U.S	S. PATENT DOCUMENTS	

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Sheet	3	of	14	Attorney	Docket Number	ULT-005-20	C2P	
			U.	S. PATENT	DOCUMENTS			
Examiner Initials*	Cited No. ¹	Document Nu Number - Kind Co	nber	Publication I MM-DD-YY	Date Name of	Patentee or Cited Document	Pages, Columns, Lir Where Relevant Passag Figures Appear	nes ges or
	B026	US-4,585,929		4/29/1986	Brow	n et al.		
	B027	US-4,592,042		5/1/1986	Lemels	son et al.		
	B028	US-4,598,196		7/1/1986	Pierc	e et al.		
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	B031	US-4,620,727		11/4/1986	Stockbu	rger et al.		
	B032	US-4,659,915		4/1/1987	F	lies		
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Substitute fo	برون 1440	M /DTO		Application Number	09/663,659
Substitute	or 101111 1449	A/PIU		Filing Date	9/15/2000
			CLOSURE PLICANT	First Named Inventor:	Malcolm G. Smith, Sr.
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Sheet	- 4	of	14	Attorney Docket Number	ULT-005-2C2P

Sheet	4	0	of	14	<u> </u>	Attorney Dock	et Number	ULT-005-20	C2P	
				U.	S. P	ATENT DOC	UMENTS			
Examiner Initials*	Cited No. 1	<u>Do</u> Numbe	r - Kind Cod	ber	P	ublication Date IM-DD-YYYY	Name of	Patentee or Cited Document	Pages, Columns, Li Where Relevant Passa Figures Appear	
	B040	US-4,7	54,128		6/1	/1988	Take	da et al.		
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300	B042	US-4,7	74,618		9/2	7/1988	R	aviv		
	B043	US-4,7	77,540		10/	11/1988	M	сСоу		
	B044	US-4,7	80,604		10/	1988	Hasega	awa et al.		
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	B046	US-4,7	91,283		12/	13/1988	Burkhardt			
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	B053	US-4,8	89,755		12/	1989	Charl	oonneau		
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Application Number 09/663,659 Substitute for form 1449A/PTO Filing Date 9/15/2000 INFORMATION DISCLOSURE First Named Inventor: Malcolm G. Smith, Sr. STATEMENT BY APPLICANT Examiner: Nowlin, April A. GROUP: 2876 (Use as many sheets as necessary) 14 Attorney Docket Number ULT-005-2C2P Sheet 5 of U.S. PATENT DOCUMENTS Pages, Columns, Lines Name of Patentee or Document Number **Publication Date** Examiner Cited Number - Kind Code 2(if known) MM-DD-YYYY Applicant of Cited Document Where Relevant Passages or Initials* No. 1 Figures Appear B054 US-4,897,533 01/1990 Lyszczarz B055 US-4,931,623 6/5/1990 Nakamura et al. B056 6/26/1990 Warwick et al. US-4,937,438 B057 US-4,978,401 12/18/1990 Bonomi B058 US-4,998,009 03/1991 Iijima et al. B059 US-5,036,430 07/1991 Hills US-5,049,728 09/1991 **Rovin** B060 US-5,099,111 Takakura et al. B061 03/1992 B062 US-5,107,099 04/1992 Smith B063 12/1992 Ghose US-5,172,282

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Examiner	Cite				Publication		Pages, Columns, Lines	
Initials*	No. 1	Foreign P	atent Document		Date	Name of Patentee or	Where Relevant	
			 		MM-DD-	Applicant of Cited	Passages or Figures	T ⁶
		Cntry Code	Number Kind Code 5	(if known)	YYYY	Document	Appear	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER SIGNATURE:

B064

B065

B066

B067

US-5,206,494

US-5,227,212

US-5,309,388

US-5,311,003

JAN 2 6 2004

DATE CONSIDERED:

Metzger
Ahlert et al.

Maruyama et al.

Saroya

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JAN 2 6 2004 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Culture 6	- 65-m 1440) A /DT()		Application Number	09/663,659
Substitute for form 1449A/PTO				Filing Date	9/15/2000
1			CLOSURE PLICANT	First Named Inventor:	Malcolm G. Smith, Sr.
(Use as	(Use as many sheets as necessary)			Examiner: Nowlin, April A.	GROUP: 2876
Sheet	6	of	14	Attorney Docket Number	ULT-005-2C2P

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Examiner Initials*	Cited No. ¹	<u>D</u> Numbe	ocument Num er - Kind Cod	ber e ^{2(1f known)}	Publication Date MM-DD-YYYY 6/14/1994			Patentee or Cited Document	Pages, Columns, Lines Where Relevant Passages Figures Appear	
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	B070	US-5,3	362,952		11/	8/1994	Nair	et al.		,
	B071	B071 US-5,396,369			5/7.	/1995	Deland	Jr. et al.		
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1				U.	S. PATENT DOC	UMENTS		
Examiner Initials*	Cited No. 1	Document Number Number - Kind Code ^{2(f/known)}			Publication Date MM-DD-YYYY	1	Patentee or Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
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EXAMINER SIGNATURE:

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DATE CONSIDERED:

Oguchi et al.

Field et al.

Toyama

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				U.	S. PATENT DOC	UMENTS		
Examiner Initials*	Cited No. 1	<u>Docum</u> Number - K	nent Num Kind Cod	n <u>ber</u> e ^{2(if known)}	Publication Date MM-DD-YYYY		Patentee or Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
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Application Number 09/663,659 Substitute for form 1449A/PTO 9/15/2000 Filing Date INFORMATION DISCLOSURE First Named Inventor: Malcolm G. Smith, Sr. STATEMENT BY APPLICANT **GROUP: 2876** Examiner: Nowlin, April A. (Use as many sheets as necessary) 9 Sheet of 14 Attorney Docket Number ULT-005-2C2P **U.S. PATENT DOCUMENTS Publication Date** Name of Patentee or Pages, Columns, Lines Cited Document Number Examiner No. 1 Number - Kind Code 2(if known) MM-DD-YYYY Applicant of Cited Document Where Relevant Passages or Initials* Figures Appear B107 US-5,789,733 8/4/1998 Jachimowicz et al. B108 US-5,801,368 9/1998 Hayashi et al. B109 Kocznar et al. US-5,825,393 10/20/1998

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EXAMINER SIGNATURE:

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DATE CONSIDERED:

Kinugasa

Gutman et al.

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Kawan et al.

Lalonde

Chen et al.

Masahiko

Claus et al.

Wynn

Coughlin

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				U.	S. PATENT DOC	UMENTS		
Examiner Initials*	Cited No. 1	<u>Docum</u> Number - K	ent Num Lind Code	ber ² (if known)	Publication Date MM-DD-YYYY		Patentee or Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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US-5,901,303

DATE CONSIDERED:

Ishida

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Under the Paperwork Reduction Action of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Application Number 09/663,659 Substitute for form 1449A/PTO Filing Date 9/15/2000 INFORMATION DISCLOSURE First Named Inventor: Malcolm G. Smith, Sr. STATEMENT BY APPLICANT Examiner: Nowlin, April A. **GROUP: 2876** (Use as many sheets as necessary) Sheet 11 14 **Attorney Docket Number** ULT-005-2C2P U.S. PATENT DOCUMENTS Examiner Cited Document Number **Publication Date** Name of Patentee or Pages, Columns, Lines Number - Kind Code 26f known) Initials* No. MM-DD-YYYY Applicant of Cited Document Where Relevant Passages or Figures Appear 8/1999 B133 US-5,941,375 Kamens et al. B134 US-5,979,774 11/1999 Urushibata Blank B135 US-5,997,042 12/1999 B136 US-6,079,621 6/2000 Vardanyan et al. B137 US-6,116,655 9/2000 Thouin et al. B138 US-6,131,816 10/2000 Smith, Sr. B139 US-6,196,047 3/2001 Carnegie et al. B140 US-6,254,713 7/2001 Riehle B141. US-6,268,919 7/2001 Meeks et al. 10/2001 B142 US-6,301,068. Ionescu FOREIGN PATENT DOCUMENTS Examiner Pages, Columns, Lines Cite Publication Initials* Name of Patentee or No. 1 Foreign Patent Document Where Relevant Date T⁶ MM-DD-Applicant of Cited Passages or Figures Cntry Code³ Number⁴ Kind Code⁵ (if known) YYYY Document Appear OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) EXAMINER SIGNATURE: DATE CONSIDERED:

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